

Correspondent Network



Team presentation

FOR INVESTMENT SPECIALISTS ONLY



Correspondent Network



David Burnes
Executive Vice-President
and C.O.O

**“Canada’s leading provider of securities
processing services.”**



Correspondent Network

With more than **20 years** of continuous service and over **135 employees**, Correspondent Network is the leading provider of securities processing in Canada and continues to redefine the industry through **innovative product development, expert customer service and leading technology.**

We provide clearing, custody and administrative services to independent securities firms and portfolio managers. The team is dedicated to giving our clients **the very best of service** for successful startup and transition assistance.

A complete range of products and services

Transactional services

- > Dedicated Correspondent Network “high touch” equity agency trading desk.
- > Individual trades or block trades with allocations.
- > Trade compression and DMA options.
- > Flexible commission and payout system.
- > Multitude of financial products and foreign exchange.

A complete range of products and services

Administrative support

- > Custody, clearing and settlement.
- > Full accounting services including payroll, benefits and regulatory reporting.
- > Registered plan administration.

Value-Added Services

- > Proprietary and third-party research.
- > Access to National Bank Financial Group new issues.
- > Syndication services for new issues.

Managed account solutions

Correspondent Network offers a top-of-the-line wealth management platform and services such as:

- > Access to 40 well-known Sub-Advisory firms.
- > Customized or Turnkey programs.
- > Full integrated MSuite (CGI) administration:
 - **MVest:** Modeling system and orders management
 - **MView:** Reports and analysis
 - **MFolio:** Specialized clients statements

Managed account solutions (cont'd)

- > Consulting, support and market intelligence on product and business development.
- > Portfolio modeling and administration services to optimize trading and simplify maintenance.
- > Fees & disbursements: Design, implementation, calculation and administration of your customized fee matrix.
- > Ongoing reconciliation and coordination with sub-advisors, sponsor firms and advisors.
- > Performance calculation and validation through customized professional reporting.

Integrated technology, powerful tools

Correspondent Network offers top-of-the-line tools for increased efficiency.

- > Online tools that allow clients to perform administrative tasks with ease – electronic forms, report distribution.

The image displays three screenshots of the NBCN Correspondent Network's online tools:

- Web Portal:** A screenshot of the main NBCN web portal interface, showing navigation tabs for Research & Analysis, Investment Projects, and Administration. It features a search bar, a sidebar with various service categories, and a main content area with news and announcements.
- tiSales:** A screenshot of the tiSales system, showing a search interface for client accounts. It includes fields for Client Search, Account Search, and Branch Code. Below the search fields is a table of search results with columns for Type, Funds, Cash Balance, Market Value, and Margin.
- iMOST:** A screenshot of the iMOST system, showing a dashboard with various financial reports and data points, including a table of account balances and a section for account management.

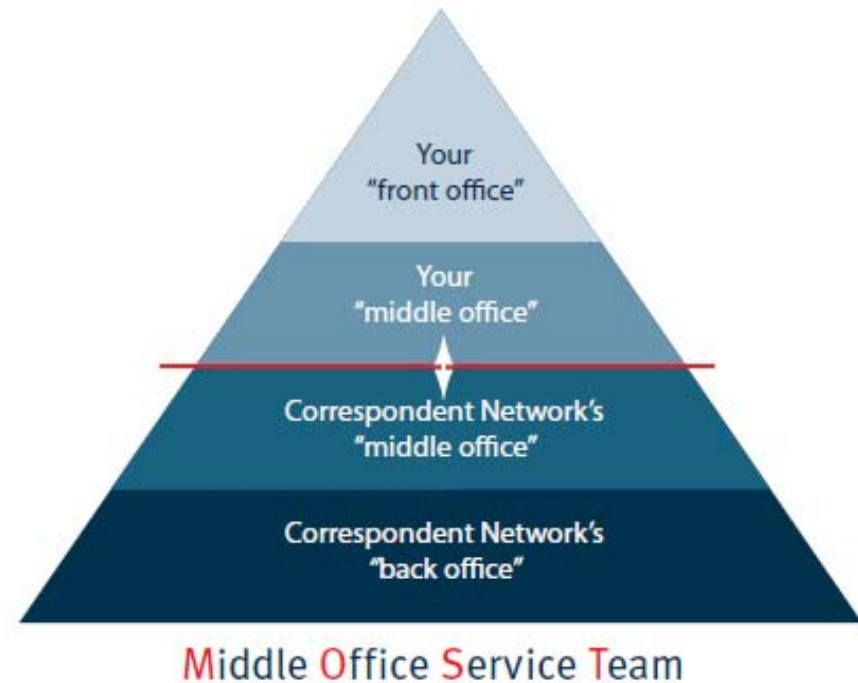
Integrated technology, powerful tools

- > Retail tools that allow for efficient operational tasks – account opening, portfolio management.
- > Institutional tools for online fails reporting and inventory and trade management.
- > Third-party integration for increased flexibility.

Customer service

Our commitment is to superior customer service. We focus on the development of our people through training, leadership, and coaching.

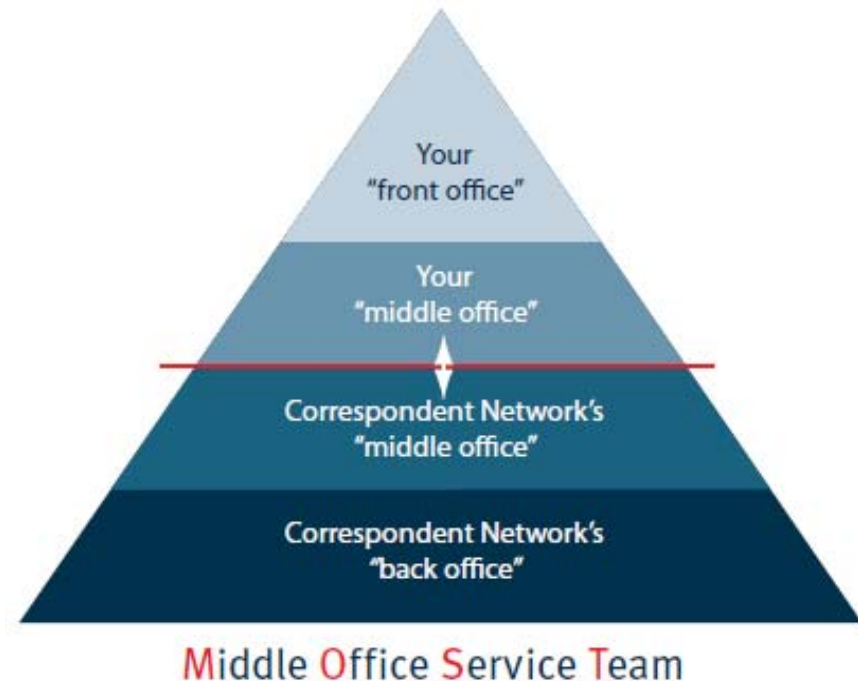
All of these are fundamental to our effectiveness as a customer service organization. We are driven by and rewarded for achieving consistently high results in delivering service.



Customer service

Correspondent Network pioneered the concept of putting specialists, not generalists, on their customer service teams; this is what we call the Middle Office Service Team (MOST) concept.

We strongly believe that an approach focused on our clients' needs, combined with the ongoing development of our resources, are key to providing effective support service.



Thank you!

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